**United Way of Northeast Kentucky**

***Community Investment Policy (Guidelines)***

***Adopted November 2020***

**Purpose**   
The purpose of this policy is to provide guidelines for the community investment process.

**Annual Process**The United Way of Northeast Kentucky Board of Directors reviews the outcome of the annual campaign and determines the amount to be allocated to agencies. Application will be divided into two categories: Grants (less than $5,000) and Programs. The Community Investment Team meets, reviews agency applications for allocations, conducts agency interviews if necessary, and provides agency allocation recommendations to the Board for final approval.

**Special Request Process**

Requests outside of the normal annual process shall be directed to the Community Investment Team Chairperson for review. The Chairperson shall make a recommendation to the Executive Committee. If time is of the essence, the Executive Committee shall approve any award for the request. If time is not of the essence, the Executive Committee shall make a recommendation to the Board for final approval.

**Allocation Guidelines**

**A. Funded Agencies or Initiatives. Funding will be generally limited to:**

1. Organizations which provide programs in the impact areas of: Education, Empowerment, Employment, Health, and Financial Stability.

2. Organizations that demonstrate their program’s benefit to residents in our service area whether the service is provided as an independent agency or local/regional chapter of a national group.

3. Organizations that measure and track the positive outcomes achieved by clients/consumers as a result of the services provided by the agency.

4. Nonprofit organizations recognized by the IRS as 501(c)3 or a similar tax exemption such as a school district or unit of county or local government.

5. Agencies that maintain accounting records and monitor the use of United Way funds. Organizations must maintain an appropriate ratio of fundraising and administrative overhead to that spent for delivery of programs.

6. Agencies that are in compliance with antiterrorist funding in accordance with the USA PATRIOT Act.

7. Agencies that welcome diversity in the selection of governing board and staff and that practice non-discrimination in the delivery of services.

**B. Non-funded Agencies or Initiatives. Funding will not be considered for:**

1. Individuals or for-profit organizations.

2. Religious organizations if the activities serve only their membership or promote a particular religion or denomination.

3. Lobbying efforts designed to influence legislation.

4. Direct support for travel or tours by individuals or groups unless such travel is a critical component of an eligible program such as providing transportation for the elderly and disabled.

5. Advertising other than that designed to make the public aware of available services.

**C. Other. Funding is usually not granted for:**

1. Endowment or capital campaigns.

2. Athletic associations or teams.

3. Fundraising events, testimonial events or dinners and related activities such as silent auctions, raffles, walk-a-thons, bike-a-thons or telethons.

**Provision of Funds**Quarterly allocations are provided to the agencies. If donors designate allocations to specific agencies during the fundraising campaign:  
•· Agencies will receive this designation as part of their quarterly allocation from the United Way of Northeast Kentucky.  
• Agencies that are not affiliated with the United Way of Northeast Kentucky will receive the designation, less a 10% administrative fee.

**Return of Funds**  
Programs that are not offered requires return of the allocated funds to the United Way of Northeast Kentucky by the agency.